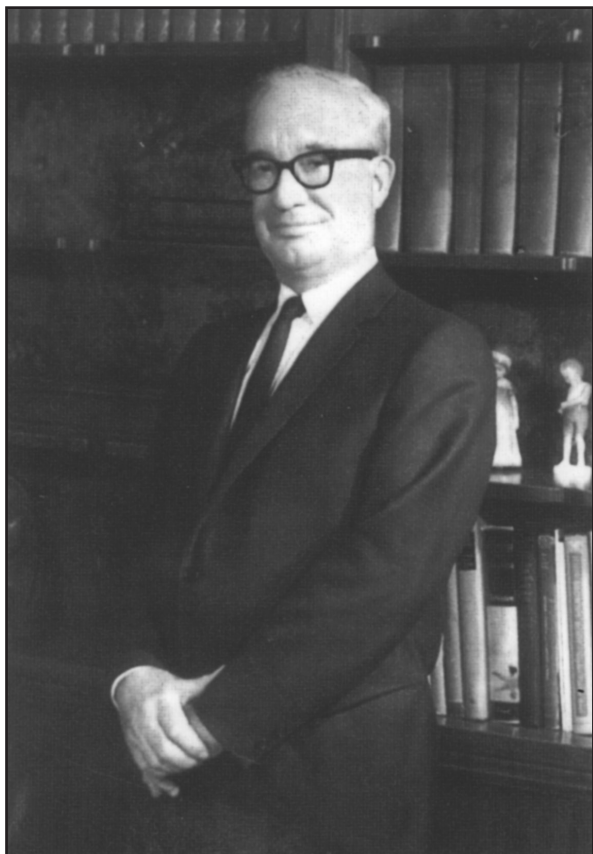


THE ALLEN FOUNDATION INC.



2011 ANNUAL REPORT

www.allenfoundation.org

LETTER FROM THE CHAIR

With great sadness we announce the passing of our trustee emeritus, Roberta (“Bobbe”) R. Allen. The hallmarks of accomplishment during her many years as chair of the Allen Foundation Inc., were her negotiation for the successful establishment of endowed chairs in nutrition in the name of her husband William (“Bill”) W. Allen, the founder of the Allen Foundation, at Texas A&M University and Cedar Crest College. Her inspiration in keeping the spirit of the original vision of Bill Allen at the forefront of the funding process will be greatly missed.

In the spirit of Bill Allen’s vision, nineteen grants were made to outstanding projects in 2011.

Thirteen projects in the field of nutrition education received funding, including one targeting female students at Cedar Crest College, another serving medical students at Boston Medical Center, and a third improving food security and providing nutrition education in African schools through the work of Innovation Africa.

Research grants were awarded to: State University of New York School of Optometry on the effects of lutein/zeaxanthin supplementation; University of Missouri for a bio-medical model for childhood obesity; and Trustees of Boston University for nutrient studies.

Direct food assistance included grants to Project Fresh which provides fresh fruits and vegetables to families and two community gardens.

As I look back on the past year I want to express deep appreciation to each of our dedicated trustees and our administrative assistant Lucille Dougherty and webmaster Jack Li. Without them all, it would be impossible to carry out the foundation’s mission.



Gail E. Lanphear, Chair

STATEMENT OF FINANCIAL POSITION

Financial Report as of December 31, 2011

Assets

Cash.....	\$261,539
Securities at Market Value.....	9,717,651
Total Assets	<u>\$9,979,190</u>

Liabilities

Grant Commitments Not Paid.....	(70,150)
Unrestricted Net Assets	<u>\$9,909,040</u>

Revenues

Dividends and Interest.....	\$276,456
Miscellaneous Revenue	- 0 -
Net Realized Gains	
(Losses) on Investments.....	<u>75,772</u>
Total Receipts	<u>\$352,228</u>

Expenses

Professional Fees.....	\$27,960
Administrative Costs	29,514
Taxes	<u>18,921</u>
Total Expenses other than Grants	<u>\$76,395</u>

Grants (Net of returned, unused funds).....	<u>474,750</u>
Net Income	<u>\$(198,917)</u>

Net Unrealized Gains

(Losses) on Investments	(410,638)
Increase in Unrestricted Assets	<u>\$(609,555)</u>

GRANTS MADE BY THE FOUNDATION

ORGANIZATION	TOTAL	2011
American Friends Service Committee	\$ 15,700	
Baptist Child & Family Services	4,475	
Berrien County Health Department	6,810	
Boston Medical Center	52,241	52,241
Boy Scouts of America – Lake Huron #265	7,000	
Brigham and Women’s Hospital, Boston, MA	32,677	
Brooklyn Kindergarten Society	10,000	
Caregiving Network, Midland, MI	3,500	500
Cedar Crest College	2,599,380	15,000
Center for Science in the Public Interest	50,000	
Central Michigan University	259,996	
Central Pennsylvania Food Bank, Harrisburg, PA	10,000	
Children’s Hospital of Boston, MA	166,986	
Children’s Hospital, Los Angeles, CA	76,304	
Children’s Hospital of Michigan	43,720	
Comal County Senior Citizens, New Braunfels, TX	22,496	
Community Partnership – Manistique, MI	3,600	
Dana-Farber Cancer Institute	27,000	
Delta College	11,267	
Detroit City Rescue Mission	1,000	
Doernbecher Children’s Hospital Foundation	80,000	
Eagle Boys Village, Inc.	28,937	
East Dallas Co-op Parish	8,665	
Easter Seals Florida, Inc., Winter Park, FL	45,234	
Episcopal Social Services of New York, Inc.	23,350	23,350
Family Planning Center, Auburn, NY	10,000	
Farm Share, Inc.	12,150	
Fast Ice, Inc.	41,860	
Feed the Dream	30,000	
Florence Crittenton Home and Services, Helena, MT	28,105	
Florida State University	74,477	
Food Bank of Eastern Michigan	75,000	
4-H of Houghton and Keweenaw Counties, MI	2,000	
FSP/Vanuata Country, South Pacific	15,000	
Geisinger Health System	75,000	
Girls Incorporated of St. Louis, MO	5,000	
Gleaners Community Food Bank – Detroit, MI	5,000	
Grace United Methodist Church – Dallas, TX	5,000	
Growing Power, Inc., Milwaukee, WI	55,800	35,000
Health Emergency Lifeline Program	16,500	16,500
Holy Rosary School, Seattle, WA	4,090	
Home Gardening Project, Jacksonville, OR	17,500	
Huntington’s Disease Society of America, Inc.	5,000	
Immaculata College	10,000	
Indiana State University	65,107	
Ingham Regional Healthcare Foundation	15,000	15,000
Innovation: Africa	44,000	44,000
International Hospital for Children, Richmond, VA	25,000	
International Medical Services for Health	30,875	
Kingman Elementary School	3,000	3,000
La Luz Center, Sonoma, CA	1,906	1,906
La Maestra Family Clinic, Inc., San Diego, CA	150,000	
Le Bonheur Medical Center – Memphis, TN	4,990	
Lighthouse of Oakland County, MI	1,200	
Loma Linda University	48,379	
Louisiana State University	45,770	
Lutheran Social Services, La Jolla, CA	300	
Mary’s Center for Maternal & Child Care, Inc.	25,000	
Matrix: Midland Festival, Midland, MI	8,000	
Memorial Presbyterian Church, Midland, MI	200	
Memorial Sloan Kettering	25,000	
Michigan Council of Foundations	10,500	
Michigan 4-H Foundation	80,950	
Michigan State University	281,730	
Michigan State University Extension – 4H	35,891	

Michigan State Univ. Extension-Project Fresh	890,853	30,000
Midland Area Community Foundation	20,000	
Midland Board of Education	570	
Midland Camping Council	26,330	
Midland County (Michigan) Council on Aging	90,000	
Midland County, Michigan	500	
Midland Foundation – Riverside Place	300,000	
Midland Foundation – Washington Woods	30,000	
Midland Preschool Center, Inc.	24,700	
Mid-Michigan Alliance, Clare, MI	8,900	
MidMichigan Medical Center, Midland, MI	203,914	
Monroe County Health Department	9,517	9,517
Montessori Children's House, Traverse City, MI	145,000	
Mother Waddles Mission, Detroit, MI	2,000	
Northwest Michigan Human Services Agency	20,000	
Northwestern University Medical School	100,000	
Northwood University	30,700	
Nurses for Newborns Foundation, St. Louis, MO	5,000	
Peninsula Volunteers, Inc., Menlo Park, CA	10,000	10,000
Physicians Committee for Responsible Medicine	20,000	20,000
Progress Center, Longview, WA	12,000	
Project Concern International	219,671	
Regional Food Bank of Northeastern NY	20,000	
Resources for Child Caring, St. Paul, MN	4,000	
Richland School District, Columbia, SC	30,000	
Saginaw Valley State University	1,119,311	
Salvation Army- Columbus, OH	10,000	
Salvation Army – Eastern Michigan	12,000	
Salvation Army – Midland, MI	45,850	
Salvation Army – San Diego, CA	15,000	
Saranac Community Schools	624	
St. John's Research Institute, Bangalore, India	61,569	
St. Mary's Medical Center – Saginaw, MI	52,800	
Scripps Memorial Hospitals Foundation	5,000	
Share Our Strength – Detroit, MI	10,000	
State University of New York at Buffalo	45,000	
State University of New York	31,717	31,717
Still Creek Boys Ranch, Kurten, TX	16,950	
Syracuse University	474,907	
Texas A&M University	742,408	
Texas Agricultural Extension Service	36,800	
The Carl & Emily Center for Health Education	9,600	9,600
Trustees of Boston University	70,150	70,150
Tufts University	72,151	
U.S. Committee for UNICEF	34,500	
University of Botswana	81,766	
University of California, Davis	77,300	
University of California, San Diego	99,121	
University of Cincinnati	52,380	
University of Georgia	54,561	
University of Hawaii at Manoa	79,828	
University of Lancaster	56,221	
University of Michigan	31,908	
University of Minnesota	134,208	
University of Missouri	82,458	82,458
University of Tennessee, Knoxville	50,000	
University of Wisconsin, La Crosse	5,083	
University of Wisconsin, Stout	9,374	
Vanderbilt University Medical Center	72,266	
Wake Forest University Health Sciences	66,000	
Washington University, St. Louis, MO	241,185	
Washington University School of Medicine	278,330	
West Midland Family Center	64,758	
West Virginia Hospital Association	40,000	
WIC Public Health Foundation, Enterprises, CA	21,931	
Woods Hole Marine Biological Laboratory	17,736	
Youth Living Centers – Inkster, MI	10,000	
Ypsilanti Health Initiative, Ann Arbor, MI	10,000	5,000
YWCA of Lincoln, NE	5,175	
Total	\$11,712,199	\$474,939

BOARD OF TRUSTEES

Roberta R. Allen, Trustee Emeritus	La Jolla, California
Gail E. Lanphear, Chairman of the Board.....	Cadillac, Michigan
Mark Ostahowski, M.D., President.....	Midland, Michigan
Dale Baum, Ph.D., Secretary.....	College Station, Texas
William C. Lauderbach, Financial Vice President	Midland, Michigan
Laurie Bouwman, Assistant Secretary	Grawn, Michigan
Ann Jay, Trustee.....	Pinconning, Michigan
William J. Allen, Trustee.....	La Jolla, California
Patrick J. Oriel, Ph.D., Trustee.....	Glen Arbor, Michigan
Leslie A. Hildebrandt, Ph.D., Trustee	Shepherd, Michigan
Mary M. Neely, Trustee	Midland, Michigan
Charles B. Kendall, Trustee.....	Midland, Michigan

Anthony Bouwman, Junior Apprentice	Owosso, Michigan
Heather Kelto, Junior Apprentice.....	Interlochen, Michigan
Lucille M. Dougherty, Staff Assistant.....	Midland, Michigan
Jack Li, Webmaster.....	College Station, Texas

GRANT APPLICATION PROCEDURE

The Allen Foundation Inc. operates under the laws of the State of Michigan, with offices in Midland. In order to be considered for an Allen Foundation grant, you must be a non-profit organization, and be able to provide us with a copy of your Federal Internal Revenue Service certification of 501(c)(3) tax-exempt status. Academic research under an Allen Foundation grant should be conducted under the leadership of a principal investigator who is a full-time regular faculty member with tenure or on tenure track. The foundation does not directly administer the programs which it funds. Recipients are asked to submit yearly progress reports and a financial accounting concerning the expenditures of the foundation grant.

Grants are strictly limited under the terms of the charter to projects that benefit programs for human nutrition in the areas of health, education, training, and research. The Allen Foundation requires electronic submission of all unsolicited applications for consideration for possible funding. Please go to: <http://www.allenfoundation.org/> and follow the instructions for filing a grant application online. The next “deadline” for submission of proposals is December 31, 2012. This deadline means that any proposal submitted electronically on or after January 1, 2013, will be considered for possible funding in 2014. The decisions of the board of trustees will be posted on the foundation’s website in June of each year. Because of the number of proposals received and the limited resources of the foundation, applicants should never view possible declinations to fund their proposals or delays in reviewing their proposals as judgments on the actual merits of their proposals.

ALLEN FOUNDATION INC.

Post Office Box 1606 • Midland, Michigan 48641-1606
Telephone: (989) 832-5678 • FAX: (989) 832-8842
www.allenfoundation.org