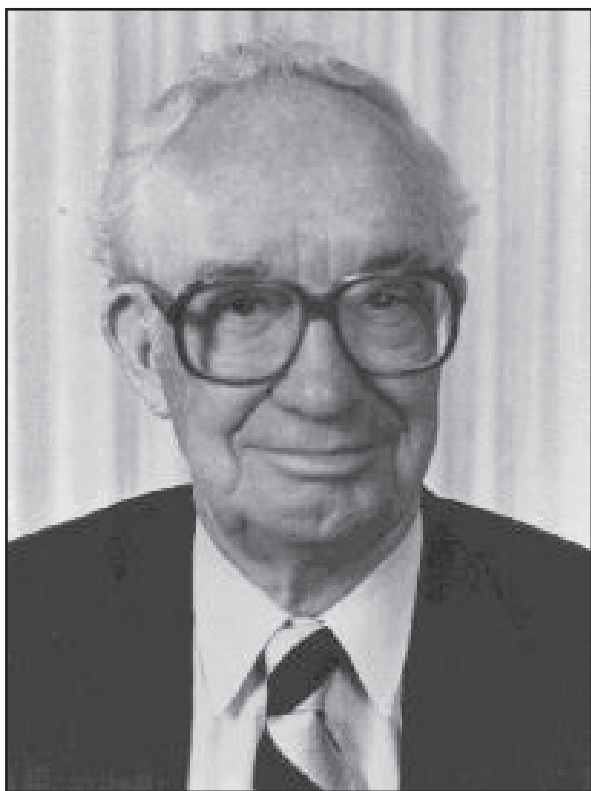


THE ALLEN FOUNDATION INC.



2013 ANNUAL REPORT

www.allenfoundation.org

LETTER FROM THE CHAIR

My father William Allen had a vision that children and adults would benefit from better-quality nutrition as a result of the work of his foundation. Two of his early initiatives were to encourage mandatory courses in nutrition in medical schools and to increase awareness among children and their parents of the importance of good nutrition. I am proud to report that a number of successful programs of these kinds have been funded annually by the foundation since its establishment in 1975.

In 2013 the Allen Foundation achieved its goal of funding a diverse group of projects in response to its founder's charge. Eight of them provided educational support to children, youth, homeless mothers, and immigrants. Two outstanding research projects included: effects of vitamin K status and adverse effects following vascular surgery; and consequences of enriched maize-based beverages on growth rates of fifth to seventh grade students in South Africa.

This year I would like to recognize the professionals on our board who have shared their time and expertise in the area of scientific nutrition research. These individuals are Mark Ostahowski, Leslie Hildebrandt, Ann Jay, Patrick Oriel, Mary Neely, Chuck Kendall and Bill Lauderbach. Without their informed input, support for worthy educational and academic nutrition proposals would not be possible.

The Allen Foundation board of trustees sends special thanks to Saginaw Valley State University for its management of the Allen Foundation website and on-line application system. The university's generous donation to the foundation is most appreciated.

Five years ago the Allen Foundation established a "Junior Apprentice" program for young persons interested in the work of the foundation. In 2013 the foundation welcomed Junior Apprentice Amanda Bouwman. We have appreciated her contributions to our grant making efforts.

It is a privilege working with my fellow trustees in pursuit of my father's mission to eliminate nutritional deficits worldwide. I am truly grateful for their dedication, expertise and deep concern for improving human nutrition.



Gail E. Lanphear, Chair

STATEMENT OF FINANCIAL POSITION

Financial Report as of December 31, 2013

Balance Sheet

Assets

Cash & Equivalent.....	\$ 3,522,436
Securities at Market Value	
Equities	20,488,445
Bonds	5,455,203
Total Assets	\$29,466,084

Liabilities

Grant Commitments.....	\$ 15,000
Unrestricted Assets	\$ 29,451,084
	\$29,466,084

Income Statement

Revenues

Interest	\$ 98,301
Dividends	244,375
Miscellaneous	67
Net Realized Gains	
(Losses) on Investments.....	1,135,453
Total Revenue	\$ 1,478,196

Expenses

Professional Fees	\$ 34,430
Administrative Costs	32,817
Taxes	18,792
Grants, Net of unused funds returned...	486,348
Total Expenses	\$ 572,386

Net Income \$ 905,810

Net Unrealized Gains

on Investments	3,952,097
Distribution from	
Trust-addition to corpus	14,043,445

Increase in Total Assets \$ 18,901,351

GRANTS MADE BY THE FOUNDATION

ORGANIZATION	TOTAL	2013
American Friends Service Committee	\$ 15,700	
Astoria Sunday Market	3,000	
Baptist Child & Family Services	4,475	
Bay City Public Schools	2,000	
Berrien County Health Department	6,810	
Boston Medical Center	52,241	
Boy Scouts of America - Lake Huron #265	7,000	
Brigham and Women's Hospital, Boston, MA	32,677	
Brooklyn Kindergarten Society	10,000	
Caregiving Network, Midland, MI	23,260	2,860
Cedar Crest College	2,654,380	10,000
Cedars-Sinai Medical Center	25,000	25,000
Center for Science in the Public Interest	50,000	
Central Michigan University	259,996	
Central Pennsylvania Food Bank, Harrisburg, PA	10,000	
Children of Strength - San Francisco, CA	20,000	10,000
Children's Hospital of Boston, MA	166,986	
Children's Hospital of Michigan	43,720	
Children's Hospital, Lost Angeles, CA	76,304	
Comal County Senior Citizens, New Braunfels, TX	22,496	
Community Partnership - Manistique, MI	3,600	
Dana-Farber Cancer Institute	27,000	
Delta College	11,267	
Detroit City Rescue Mission	1,000	
Doernbecher Children's Hospital Foundation	80,000	
Eagle Village, Inc.	71,737	42,800
East Dallas Co-op Parish	8,665	
Easter Seals Florida, Inc., Winter Park, FL	45,234	
Episcopal Social Services of New York, Inc.	23,350	
Family Planning Center, Auburn, NY	10,000	
Farm Share, Inc.	12,150	
Fast Ice, Inc.	41,860	
Feed the Dream	60,000	15,000
Florence Crittenton Home and Services, Helena, MT	28,105	
Florida State University	74,477	
Food Bank of Eastern Michigan	75,000	
4-H of Houghton and Keweenaw Counties, MI	2,000	
FSP/Vanuata Country, South Pacific	15,000	
Geisinger Health System	75,000	
Girls Incorporated of St. Louis, MO	5,000	
Gleaners Community Food Bank - Detroit, MI	5,000	
Grace United Methodist Church - Dallas, TX	5,000	
Growing Power, Inc., Milwaukee, WI	55,800	
Health Emergency Lifeline Program	16,500	
Holy Rosary School, Seattle, WA	4,090	
Home Gardening Project, Jacksonville, OR	17,500	
Huntington's Disease Society of America, Inc.	5,000	
Immaculata College	10,000	
Indiana State University	65,107	
Ingham Regional Healthcare Foundation	15,000	
INMED Partnerships for Children	22,369	
Innovation: Africa	44,000	
International Hospital for Children, Richmond, VA	25,000	
International Medical Services for Health	30,875	
Kingman Elementary School	3,000	
La Luz Center, Sonoma, CA	1,906	
La Maestra Family Clinic, Inc., San Diego, CA	150,000	
Le Bonheur Medical Center - Memphis, TN	4,990	
Lighthouse of Oakland County, MI	1,200	
Loma Linda University	48,379	
Louisiana State University	45,770	
Lutheran Social Services, La Jolla, CA	300	
Mary's Center for Maternal & Child Care, Inc.	25,000	
Massey University	55,080	55,080
Matrix: Midland Festival, Midland, MI	8,000	
Memorial Presbyterian Church, Midland, MI	200	
Memorial Sloan Kettering	25,000	
Michigan 4-H Foundation	80,950	
Michigan Council of Foundations	10,500	
Michigan State University	281,730	
Michigan State University Extension - 4H	35,891	
Michigan State University Extension - Project Fresh	890,853	
Midland Area Community Foundation	20,000	
Midland Board of Education	570	

Midland Camping Council	26,330	
Midland County (Michigan) Council on Aging	90,000	
Midland County Educational Service Agency	66,260	66,260
Midland County, Michigan	500	
Midland Foundation - Riverside Place	300,000	
Midland Foundation - Washington Woods	30,000	
Midland Preschool Center, Inc.	24,700	
Mid-Michigan Alliance, Clare, MI	8,900	
MidMichigan Medical Center, Midland, MI	203,914	
Monroe County Health Department	9,517	
Montachusett Veterans Outreach Center, Inc.	1,500	
Montessori Children's House, Traverse City, MI	145,000	
Mother Waddles Mission, Detroit, MI	2,000	
Northwest Michigan Human Services Agency	20,000	
Northwestern University Medical School	100,000	
Northwood University	30,700	
Nurses for Newborns Foundation, St. Louis, MO	5,000	
Peninsula Volunteers, Inc., Menlo Park, CA	10,000	
Physicians Committee for Responsible Medicine	20,000	
Progress Center, Longview, WA	12,000	
Project Concern International	219,671	
Regional Food Bank of Northeastern NY	20,000	
Resources for Child Caring, St. Paul, MN	4,000	
Richland School District, Columbia, SC	30,000	
Saginaw Valley State University	1,119,311	
Salvation Army - Columbus, OH	10,000	
Salvation Army - Eastern Michigan	12,000	
Salvation Army - Midland, MI	45,850	
Salvation Army - San Diego, CA	15,000	
Saranac Community Schools	624	
Scripps Memorial Hospitals Foundation	5,000	
Share Our Strength - Detroit, MI	10,000	
Spanish Catholic Center of Catholic Charities	20,000	20,000
St. John's Research Institute, Bangalore, India	61,569	
St. Mary's Medical Center - Saginaw, MI	52,800	
State University of New York at Buffalo	45,000	
State University of New York School of Optometry	31,717	
Steppingstone Inc.	2,000	2,000
Still Creek Boys Ranch, Kurten, TX	16,950	
Syracuse University	474,907	
Texas A&M Agrilife Research	25,925	25,925
Texas A&M University	742,408	
Texas Agricultural Extension Service	36,800	
The Carl & Emily Center for Health Education	17,100	7,500
The Central Rivers Farmshed	41,380	
The Research Institute at Nationwide Children's Hospital	150,786	81,420
Trustees of Boston University	140,300	
Tufts University	72,151	
U.S. Committee for UNICEF	34,500	
University of Botswana	81,766	
University of California, Davis	77,300	
University of California, San Diego	99,121	
University of Cincinnati	52,380	
University of Georgia	54,561	
University of Hawaii at Manoa	79,828	
University of Lancaster	56,221	
University of Limerick	63,195	63,195
University of Michigan	31,908	
University of Minnesota	134,208	
University of Missouri	82,458	
University of Tennessee, Knoxville	99,996	24,996
University of Wisconsin, La Crosse	5,083	
University of Wisconsin, Stout	9,374	
Vanderbilt University Medical Center	72,266	
Victoria University, Melbourne, Australia	68,625	34,312
Wake Forest University Health Sciences	66,000	
Washington University School of Medicine	347,560	
Washington University, St. Louis, MO	241,185	
West Midland Family Center	64,758	
West Virginia Hospital Association	40,000	
Western Michigan University	15,463	
WIC Public Health Foundation, Enterprises, CA	21,931	
Woods Hole Marine Biological Laboratory	17,736	
YMCA of Greater Grand Rapids	35,000	
Youth Living Centers - Inkster, MI	10,000	
Ypsilanti Health Initiative, Ann Arbor, MI	10,000	
YWCA of Lincoln, NE	5,175	
Total	\$12,674,218	\$486,348

BOARD OF TRUSTEES

Gail E. Lanphear, Chairman of the Board..... Cadillac, Michigan
Mark Ostahowski, M.D., President..... Midland, Michigan
Dale Baum, Ph.D., Secretary Goleta, California
William Lauderbach, Treasurer and Financial Vice President Midland, Michigan
Laurie Bouwman, Assistant Secretary Grawn, Michigan
Ann Jay, Trustee Pinconning, Michigan
William J. Allen, Trustee..... La Jolla, California
Patrick J. Oriol, Ph.D., Trustee..... Glen Arbor, Michigan
Mary Neely, Trustee Midland, Michigan
Charles B. Kendall, Trustee Midland, Michigan
Leslie A. Hildebrandt, Ph.D., Trustee Shepherd, Michigan

Jack Li, Webmaster College Station, Texas
Kathy McDonald, Staff Assistant..... Midland, Michigan
Heather Kelto, Junior Apprentice Interlochen, Michigan
Amanda Bouwman, Junior Apprentice Owosso, Michigan

GRANT APPLICATION PROCEDURE

The Allen Foundation Inc. operates under the laws of the State of Michigan, with offices in Midland. In order to be considered for an Allen Foundation grant, you must be a non-profit organization, and be able to provide us with a copy of your federal Internal Revenue Service certification of 501(c) (3) tax-exempt status. Academic research under an Allen Foundation grant should be conducted under the leadership of a principal investigator who is a full-time regular faculty member with tenure or on tenure track. The foundation does not directly administer the programs which it funds. Recipients are asked to submit yearly progress reports and a financial accounting concerning the expenditures of the foundation grant.

Grants are strictly limited under the terms of the charter to projects that benefit programs for human nutrition in the areas of health, education, training, and research. The foundation requires electronic submission of all unsolicited applications for consideration for possible funding. Please go to: <http://www.allenfoundation.org/> and follow the instructions for filing a grant application online. The next deadline for submission of proposals is December 31, 2014. This deadline means that any proposal submitted electronically on or after January 1, 2015, will be considered for possible funding in 2016. The decisions of the board of trustees will be posted on the foundation's website in June of each year. Because of the number of proposals received and the limited resources of the foundation, applicants should never view possible declinations to fund their proposals or delays in reviewing their proposals as judgments on the actual merits of their proposals.

ALLEN FOUNDATION INC.

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