

THE ALLEN FOUNDATION INC.



2019 ANNUAL REPORT

www.allenfoundation.org

LETTER FROM THE CHAIR

In 2019 the Allen Foundation annual letter is dedicated to Dr. Dale Baum. My brother has served on the board since its inception in 1975 and assumed the role of secretary of the Allen Foundation in 1987. His retirement from the foundation was announced in 2019. Dr. Baum's leadership will be sorely missed. It was outstanding in many areas, notably the foundation's transition to online operations and his tenacious voice for a democratic process of evaluating grant requests. These and other initiatives led by Dr. Baum have significantly improved the work of the foundation and its ability to impact innovative, sustainable projects across the country. Dr. Baum's dedication and commitment to the legacy of William Allen reflects his caring spirit, his strong sense of integrity and justice, and his belief that we are our brother's keeper. He is wished the best in his retirement.

Foundation trustees were pleased to welcome Emily Umbarger to the Allen foundation Board in 2019. Ms. Umbarger has been on staff at Interlochen Center for the Arts for 11 years and currently works as educator, counselor and sustainability manager for the center. Her family raises a small sustainable family farm.

Twenty two grants were made in 2019. Six research projects received funding. Three of these projects focused on diabetes in pregnant women. Nine grants supported nutrition education in diverse areas of the country. The development of community gardens has become an excellent method of providing hands-on education for families. Three community teaching kitchens were enhanced by Allen Foundation funds, as were four direct feeding programs. It is exciting to see increased interest in nutrition research and education and gratifying for trustees to be a small part of helping these programs function and flourish.

Deep appreciation is due the Allen Foundation trustees and staff who make the foundation's efforts possible. I am truly grateful.

Sincerely,



Gail E. Lanphear, Chair

FINANCIAL REPORT AS OF DECEMBER 31, 2019

Balance Sheet

Assets

| | | |
|----------------------------|-----------|------------------------|
| Cash & Equivalent..... | \$ | 1,456,177.15 |
| Securities at Market Value | | |
| Equities..... | \$ | 24,264,703.63 |
| Bonds..... | \$ | 8,009,346.50 |
| Total Assets | \$ | \$33,730,227.28 |

Liabilities

| | | |
|--------------------------|----|------------------------|
| Grant Commitments..... | \$ | 394,495.00 |
| Unrestricted Assets..... | \$ | 33,335,732.28 |
| | | <u>\$33,730,227.28</u> |

Income Statement

Revenues

| | | |
|-----------------------------|-----------|---------------------|
| Interest..... | \$ | 234,836.98 |
| Dividends..... | \$ | 538,107.99 |
| Miscellaneous..... | \$ | 416.68 |
| Net Realized Gains (Losses) | | |
| on Investments | \$ | 445,693.38 |
| Total Revenue..... | \$ | 1,219,055.03 |

Expenses

| | | |
|--------------------------------------|-----------|---------------------|
| Professional Fees | \$ | 1,805.00 |
| Administrative Costs..... | \$ | 119,098.13 |
| Taxes | \$ | 2,938.57 |
| Grants, Net of unused funds returned | \$ | 1,663,376.00 |
| Total Expenses..... | \$ | 1,787,217.70 |

Net Income \$ (568,162.67)

Net Unrealized Gains

| | | |
|-------------------------------|----|--------------|
| on Investments..... | \$ | 4,007,462.38 |
| Distribution from | | |
| Trust-addition to corpus..... | \$ | <u>0.00</u> |

Increase in Total Assets..... \$ 3,439,299.71

GRANTS MADE BY THE FOUNDATION

| ORGANIZATION | TOTAL | 2019 |
|---|--------------|-------------|
| 18 Reasons | 10,000 | 10,000 |
| Acta Non Verba | 175,000 | 70,000 |
| Agape Distribution Inc. | 25,000 | |
| Allied Churches of Alamance County | 15,000 | |
| Alpena Area Senior Citizens Council, Inc. | 200,000 | 200,000 |
| America SCORES - Chicago | 10,000 | |
| American Friends Service Committee | 15,700 | |
| American Initiatives for Social Development | 18,000 | |
| Anderson Regional Medical Center | 15,000 | |
| Arnold Center, Inc. | 93,000 | 93,000 |
| Astoria Sunday Market | 3,000 | |
| Baptist Child & Family Services | 4,475 | |
| Bay City Public Schools | 2,000 | |
| Belvidere/Boone County Food Pantry | 7,500 | |
| Berrien County Health Department | 6,810 | |
| Beth Israel Deaconess Medical Center | 147,590 | |
| Boston Children's Hospital | 51,965 | |
| Boston Medical Center | 116,114 | |
| Boy Scouts of America - Lake Huron #265 | 7,000 | |
| Boys and Girls Clubs of El Paso | 7,000 | |
| Boys and Girls Clubs of Kootenai | 6,000 | |
| Brigham and Women's Hospital, Boston, MA | 82,311 | |
| Brighter Bites | 150,000 | |
| Brooklyn Kindergarten Society | 10,000 | |
| Cancer Support Community Central - New Jersey | 13,000 | |
| Caregiving Network, Midland, MI | 23,260 | |
| Catholic Charities New Hampshire | 6,418 | |
| Cedar Crest College | 2,804,380 | |
| Cedars-Sinai Medical Center | 46,360 | |
| Center for Science in the Public Interest | 50,000 | |
| Central Michigan University | 759,996 | 250,000 |
| Central Pennsylvania Food Bank, Harrisburg, PA | 10,000 | |
| Centreville Elementary School | 1,823 | |
| Children of Strength - San Francisco, CA | 20,000 | |
| Children's Friend | 4,430 | |
| Children's Hospital of Boston, MA | 166,986 | |
| Children's Hospital of Michigan | 43,720 | |
| Children's Hospital, Los Angeles, CA | 141,745 | |
| City Youth Now | 15,000 | |
| Comal County Senior Citizens, New Braunfels, TX | 22,496 | |
| Comunidades Unidas | 9,920 | |
| Community Health Programs | 18,740 | |
| Community Partnership - Manistique, MI | 3,600 | |
| Cornell Cooperative Extension of Niagara County | 53,297 | |
| Crossroads Center for Children | 1,000 | |
| Damiano of Duluth, Inc. | 20,000 | |
| Dana-Farber Cancer Institute | 27,000 | |
| Delta College | 11,267 | |
| Detroit City Rescue Mission | 1,000 | |
| DeVries Nature Conservancy | 105,758 | |
| Doernbecher Children's Hospital Foundation | 80,000 | |
| Eagle Village, Inc. | 209,746 | 63,800 |
| East Dallas Co-op Parish | 8,665 | |
| Easter Seals Florida, Inc., Winter Park, FL | 45,234 | |
| Edible Peace Patch Project | 25,000 | |
| Education Global Access Program | 11,800 | |
| Education Outside | 20,000 | |
| Emory University School of Medicine | 16,062 | |
| Episcopal Social Services of New York, Inc. | 23,350 | |
| Experimental Station | 9,000 | |
| Family Planning Center, Auburn, NY | 10,000 | |
| Farm Share, Inc. | 12,150 | |
| Farm to Family | 10,000 | |
| Fast Ice, Inc. | 41,860 | |

| ORGANIZATION | TOTAL | 2019 |
|--|--------------|-------------|
| Feed the Dream | 120,000 | |
| Florence Crittenton Home and Services, Helena, MT | 28,105 | |
| Florida State University | 74,477 | |
| Fondy Food Center | 30,000 | 30,000 |
| Food Bank of Central Louisiana | 20,000 | |
| Food Bank of Eastern Michigan | 75,000 | |
| Food Bank of South Jersey | 40,000 | |
| Food Outreach | 10,000 | 10,000 |
| Frankenmuth Farmers Market | 19,251 | |
| Friends of the Interlochen Public Library | 250,000 | |
| Friends of Refugees | 7,160 | |
| 4-H of Houghton and Keweenaw Counties, MI | 2,000 | |
| FSP/Vanuata Country, South Pacific | 15,000 | |
| GardenShare | 20,000 | |
| Geisinger Health System | 75,000 | |
| Genesee Intermediate School District | 5,000 | |
| Girls Incorporated of St. Louis, MO | 5,000 | |
| Gleaners Community Food Bank | 15,000 | |
| Good Samaritan Hospital | 10,925 | |
| Goodwill Industries of Northern Michigan | 90,000 | |
| Grace United Methodist Church - Dallas, TX | 5,000 | |
| Grand Traverse Bay YMCA | 50,000 | |
| Greater Lansing Food Bank | 20,000 | |
| Giffith University | 44,580 | 44,580 |
| Grow Benzie | 86,400 | |
| Growing Power, Inc., Milwaukee, WI | 55,800 | |
| Health Emergency Lifeline Program, Detroit, MI | 16,500 | |
| Henry Ford Health System | 115,000 | 57,500 |
| Holy Rosary School, Seattle, WA | 4,090 | |
| Home Gardening Project, Jacksonville, OR | 17,500 | |
| Hunger Free Vermont | 10,000 | |
| Huntington's Disease Society of America, Inc. | 5,000 | |
| Immaculata College | 10,000 | |
| Indiana State University | 65,107 | |
| India's Children | 23,200 | |
| Ingham Regional Healthcare Foundation, Lansing, MI | 15,000 | |
| Inland Seas Education Association | 30,000 | 30,000 |
| INMED Partnerships for Children | 22,369 | |
| Innovation: Africa | 44,000 | |
| Interlochen Center for the Arts | 275,000 | 100,000 |
| International Hospital for Children, Richmond, VA | 25,000 | |
| International Medical Services for Health | 30,875 | |
| Izi Azi Foundation | 5,000 | |
| Kansas City CARE Clinic | 25,243 | |
| Kansas City Community Gardens | 25,000 | |
| Kingman Elementary School, Kingman, ME | 5,000 | |
| King's College London | 56,062 | |
| La Luz Center, Sonoma, CA | 1,906 | |
| La Maestra Family Clinic, Inc., San Diego, CA | 150,000 | |
| Le Bonheur Medical Center - Memphis, TN | 4,990 | |
| Lee Memorial Health System Foundation | 50,000 | |
| Leelanau School | 24,200 | 24,200 |
| Liberty House | 2,000 | |
| Lighthouse of Oakland County, MI | 1,200 | |
| Loma Linda University | 48,379 | |
| Louisiana State University | 45,770 | |
| Lutheran Social Services, La Jolla, CA | 300 | |
| Maricopa Community Colleges Foundation | 35,050 | |
| Mary Bird Perkins Cancer Center | 10,000 | |
| Maryland Community Connection | 1,500 | |
| Mary's Center for Maternal & Child Care, Inc. | 25,000 | |
| Massey University | 75,424 | |
| Matrix Human Services | 20,000 | |
| Matrix: Midland Festival, Midland, MI | 8,000 | |
| McKenzie School District Family Resource Center | 2,000 | |
| McKinleyville High School | 740 | |
| Memorial Presbyterian Church, Midland, MI | 200 | |
| Memorial Sloan Kettering | 35,000 | |

| ORGANIZATION | TOTAL | 2019 |
|---|--------------|-------------|
| Michael's Place | 48,000 | 48,000 |
| Michigan Council of Foundations | 10,500 | |
| Michigan Crossroads Council | 15,000 | |
| Michigan Health Improvement Alliance | 104,500 | |
| Michigan 4-H Foundation | 80,950 | |
| Michigan Restaurant Association | 47,000 | |
| Michigan State University | 281,730 | |
| Michigan State University Extension | 333,145 | |
| Michigan State University Extension - 4H | 35,891 | |
| Michigan State University Extension - Project Fresh | 890,853 | |
| Microbiome Health Research Institute | 40,000 | 40,000 |
| Midland Area Community Foundation | 20,000 | |
| Midland Board of Education | 570 | |
| Midland Camping Council | 46,330 | |
| Midland County (Michigan) Council on Aging | 324,202 | |
| Midland County Educational Service Agency | 66,260 | |
| Midland County Emergency Food Pantry | 35,000 | |
| Midland County, Michigan | 500 | |
| Midland Foundation - Riverside Place | 300,000 | |
| Midland Foundation - Washington Woods | 30,000 | |
| Midland Preschool Center, Inc. | 24,700 | |
| Mid-Michigan Alliance, Clare, MI | 8,900 | |
| MidMichigan Medical Center, Midland, MI | 203,914 | |
| Mil Milagros, Inc. | 45,000 | 15,000 |
| Monroe County Health Department | 9,517 | |
| Montachusett Veterans Outreach Center, Inc. | 1,500 | |
| Montessori Children's House, Traverse City, MI | 145,000 | |
| Mother Waddles Mission, Detroit, MI | 2,000 | |
| Munson Medical Center | 96,080 | 48,040 |
| Nemours/Alfred I. duPont Hospital for Children | 18,290 | |
| Nepal Youth Foundation | 19,936 | 19,936 |
| Newport Hospital and Health Services Foundation | 15,000 | |
| New York Institute of Technology | 106,209 | |
| Northwest Michigan Community Action Center | 98,000 | |
| Northwest Michigan Human Services Agency | 20,000 | |
| Northwestern University Medical School | 100,000 | |
| Northwood University | 30,700 | |
| Nurses for Newborns Foundation, St. Louis, MO | 5,000 | |
| Open Hand Atlanta | 46,306 | |
| Oregon State University Foundation | 11,316 | |
| Our Lady of Mercy Community Outreach | 10,000 | |
| Outreach for World Hope | 13,950 | |
| Paris Diderot University | 60,000 | |
| Peninsula Volunteers, Inc., Menlo Park, CA | 10,000 | |
| Physicians Committee for Responsible Medicine | 20,000 | |
| Place of Enlightenment | 5,000 | |
| Progress Center, Longview, WA | 12,000 | |
| Project Concern International | 219,671 | |
| Project Peanut Butter | 93,278 | |
| Regional Food Bank of Northeastern NY | 20,000 | |
| Resources for Child Caring, St. Paul, MN | 4,000 | |
| Rhode Island Community Food Bank | 11,000 | 3,000 |
| Richland School District, Columbia, SC | 30,000 | |
| River House | 10,000 | |
| Royal Melbourne Institute of Technology University | 31,810 | |
| Saginaw Valley State University | 1,119,311 | |
| Salvation Army - Columbus, OH | 10,000 | |
| Salvation Army - Eastern Michigan | 12,000 | |
| Salvation Army - Midland, MI | 45,850 | |
| Salvation Army - San Diego, CA | 15,000 | |
| Saranac Community Schools | 624 | |
| Scripps Memorial Hospitals Foundation | 5,000 | |
| Second Harvest Heartland | 15,000 | |
| Share Our Strength - Detroit, MI | 10,000 | |
| Sharp Grossmont Hospital | 2,500 | |
| Siskiyou Food Assistance Corporation | 7,500 | |
| Skiff Medical Center | 12,000 | |
| Spanish Catholic Center of Catholic Charities | 20,000 | |
| Sprouts Cooking Club | 7,240 | |

| ORGANIZATION | TOTAL | 2019 |
|--|-------------------|------------------|
| St. John's Research Institute, Bangalore, India | 61,569 | |
| St. Joseph the Worker School | 5,000 | |
| St. Mary's Medical Center - Saginaw, MI | 52,800 | |
| State University of New York at Buffalo | 45,000 | |
| State University of New York School of Optometry | 31,717 | |
| Steppingstone Inc. | 2,000 | |
| Still Creek Boys Ranch, Kurten, TX | 16,950 | |
| Syracuse University | 474,907 | |
| Texas A&M Agrilife Research | 25,925 | |
| Texas A&M University | 742,408 | |
| Texas Agricultural Extension Service | 36,800 | |
| The Carl & Emily Center for Health Education | 17,100 | |
| The Central Rivers Farmshed | 41,380 | |
| The Nutrition Center | 68,875 | |
| The Research Institute at Nationwide Children's Hospital | 150,786 | |
| Third Hope UK | 15,775 | 15,775 |
| Trustees of Boston University | 140,300 | |
| Tufts University | 293,809 | |
| U.S. Committee for UNICEF | 34,500 | |
| University of Birmingham, UK | 124,977 | |
| University of Botswana | 81,766 | |
| University of California, Davis | 229,742 | |
| University of California, San Diego | 99,121 | |
| University of California, San Francisco | 227,205 | |
| University of Cincinnati | 52,380 | |
| University of Connecticut | 43,193 | |
| University of Georgia | 54,561 | |
| University of Hawaii at Manoa | 79,828 | |
| University of Lancaster | 56,221 | |
| University of Limerick | 126,390 | |
| University of Melbourne | 262,952 | 189,676 |
| University of Michigan | 139,952 | |
| University of Minnesota | 134,208 | |
| University of Minnesota, Regents of | 262,257 | 56,614 |
| University of Missouri | 82,458 | |
| University of Nebraska, Lincoln | 60,631 | |
| University of Nevada, Las Vegas | 43,799 | |
| University of Nevada, Reno | 29,166 | |
| University of North Carolina, Greensboro | 79,146 | |
| University of Queensland | 71,680 | 71,680 |
| University of San Diego | 34,500 | |
| University of Sydney | 70,200 | |
| University of Tennessee, Knoxville | 99,996 | |
| University of Texas Health Science Center | 81,066 | |
| University of Toronto | 128,326 | |
| University of Wisconsin, La Crosse | 5,083 | |
| University of Wisconsin, Stout | 9,374 | |
| Vanderbilt University Center for Quality Aging | 136,447 | |
| Vanderbilt University Medical Center | 72,266 | |
| Victoria University, Melbourne, Australia | 68,625 | |
| Victory Garden Foundation Inc. | 60,000 | |
| Wake Forest University Health Sciences | 66,000 | |
| Washington University School of Medicine | 347,560 | |
| Washington University, St. Louis, MO | 275,985 | |
| West Midland Family Center | 116,374 | |
| West Virginia Hospital Association | 40,000 | |
| Western Michigan University | 15,463 | |
| WIC Public Health Foundation, Enterprises, CA | 21,931 | |
| Woods Hole Marine Biological Laboratory | 17,736 | |
| Wuqu' Kawoq/Maya Health Alliance | 18,000 | |
| Yale University School of Medicine | 177,615 | 103,265 |
| YMCA of Greater Grand Rapids | 35,000 | |
| YMCA Hayo-Wen-Ha Camps | 120,000 | 40,000 |
| YMCA of Saginaw | 10,000 | 10,000 |
| Youth Living Centers - Inkster, MI | 10,000 | |
| Ypsilanti Health Initiative, Ann Arbor, MI | 10,000 | |
| YWCA of Lincoln, NE | 5,175 | |
| TOTALS | 20,825,320 | 1,644,066 |

BOARD OF TRUSTEES

| | |
|--|-----------------------|
| Gail E. Lanphear, Chairman of the Board..... | Grawn, Michigan |
| Mark Ostahowski, M.D., President..... | Midland, Michigan |
| Dale Baum, Ph.D., Secretary..... | Oakland, California |
| Laurie Bouwman, Assistant Secretary..... | Interlochen, Michigan |
| William Lauderbach, Treasurer and Financial Vice President.. | Midland, Michigan |
| Amanda Bouwman, Trustee..... | Owosso, Michigan |
| Leslie A. Hildebrandt, Ph.D., Trustee..... | Shepherd, Michigan |
| Ann Jay, Trustee..... | Pinconning, Michigan |
| Heather Kelto, Trustee..... | Interlochen, Michigan |
| Charles B. Kendall, Trustee..... | Midland, Michigan |
| Mary Neely, Trustee..... | Midland, Michigan |
| Patrick J. Oriol, Ph.D., Trustee..... | Glen Arbor, Michigan |
| Emily Umbarger, Trustee..... | Interlochen, Michigan |
| | |
| Kathy McDonald, Staff Assistant..... | Midland, Michigan |
| Mogen Mcallister, Junior Apprentice..... | Interlochen, Michigan |
| Maclain Mcallister, Junior Apprentice..... | Interlochen, Michigan |

GRANT APPLICATION PROCEDURE

The Allen Foundation Inc. operates under the laws of the State of Michigan, with offices in Midland. In order to be considered for an Allen Foundation grant, you must be a non-profit organization, and be able to provide us with a copy of your federal Internal Revenue Service certification of 501(c) (3) tax-exempt status. Academic research under an Allen Foundation grant should be conducted under the leadership of a principal investigator who is a full-time regular faculty member with tenure or on tenure track. The foundation does not directly administer the programs which it funds. Recipients are asked to submit yearly progress reports and a financial accounting concerning the expenditures of the foundation grant.

Grants are strictly limited under the terms of the charter to projects that benefit programs for human nutrition in the areas of health, education, training, and research. The foundation requires electronic submission of all unsolicited applications for consideration for possible funding. Please go to: <http://www.allenfoundation.org/> and follow the instructions for filing a grant application online. The next deadline for submission of proposals is January 15, 2021. This deadline means that any proposal submitted electronically on or after January 15, 2021, will be considered for possible funding in 2022. The decisions of the board of trustees will be posted on the foundation's website in June of each year. Because of the number of proposals received and the limited resources of the foundation, applicants should never view possible declinations to fund their proposals or delays in reviewing their proposals as judgments on the actual merits of their proposals.

ALLEN FOUNDATION INC.

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